



06 13 03

#2
07-02-03
26 23

Express Mail No. EV335855811US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Sakamoto et al.

Confirmation No.: 5152

RECEIVED

Serial No.: 10/007,100

Group Art Unit: 2633

JUN 16 2003

Filed: December 6, 2001

Examiner: To be assigned

Technology Center 2600

For: WAVELENGTH DIVISION
MULTIPLEX OPTICAL
TRANSMISSION SYSTEM

Attorney Docket No.: 2270-0042

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.56

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure imposed by 37 C.F.R. § 1.56 to inform the Patent Office of all references coming to the attention of each individual associated with the filing or prosecution of the subject application, which are or may be material to the patentability of any claim of the application, Attorneys for Applicants hereby direct the Examiner's attention to References B01 and B02 listed on the attached revised form PTO 1449. Copies of the references are included herewith.

Identification of the listed references is not to be construed an admission of Applicants or Attorneys for Applicants that such references are available as "prior art" against the subject application. Consequently, Applicants respectfully decline to use form PTO-1449, since this form identifies all of the references cited therein as "Prior Art." as an alternative, Applicants submit herewith several pages of a "revised form PTO 1449" entitled "List of References Cited" instead of "List of Prior Art Cited."

Applicants respectfully request that the Examiner review the foregoing references and that the references be made of record in the file history of the application.



Pursuant to 37 C.F.R. § 1.97(b)(3), no fee is believed due with this submission, as it is being filed before the mailing of a first Office Action on the merits. Should any fee be due, please charge such fee to Pennie & Edmonds LLP Deposit Account No. 16-1150.

Respectfully submitted,

David D. Lee

Brian M. Rothery

35,340

Brian M. Rothery (Reg. No.)

by: David D. Lee Reg. No. 51,929

PENNIE & EDMONDS LLP

1155 Avenue of the Americas

New York, New York 10036-2711

(212) 790-9090

Date June 11, 2003

RECEIVED

JUN 16 2003

Technology Center 2600

Enclosures

LIST OF REFERENCES CITED BY APPLICANT
(Use several sheets if necessary)

ATTY DOCKET NO.

2270-0042

APPLICATION NO

10/0007,100

APPLICANT

Sakamoto et al.

FILING DATE

12/06/2001

GROUP

2633

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	A01						
	A02						
	A03						
	A04						
	A05						
	A06						
	A07						
	A08						
	A09						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	B01	05-110517	4/30/93	Japan w/ English Abstract				X
	B02	06-090224	3/29/94	Japan w/ English Abstract				X
	B03							
	B04							
	B05							

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

	C01	
	C02	
	C03	

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.